



# ShieldRisk AI

## AI Powered Vendor Assessment Platform

PLATFORM GUIDANCE FOR VENDOR  
ORGANIZATIONS



# ABOUT SHIELD**RISK** AI

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**Shieldbyte Infosec Inc.** is cyber security , information security and data privacy consulting organization for global companies.

**ShieldRisk AI** is a comprehensive web-based platform as services system provided by ShieldByte Infosec for Third-party Risk Management supporting diversified organizations to ensure the empanelment of complaint Vendors, Suppliers and Service providers. ShieldRisk provides a streamlined vendor evaluation and risk management for the existing and approaching third-party vendors.

ShieldRisk platform facilitates to eliminate the security and compliance risks that come from suppliers and other third parties, and with more risk aptitude than due diligence spreadsheets or other manual processes. With ShieldRisk, organizations can make more informed risk-based decisions about their third parties and can adapt and advance their Third-party Risk Management, Vendor Due Diligence and Vendor Audit.





PROPRIETARY AI TECHNOLOGY

# SHIELD**RISK** AI GDPR & SOC 2 COMPLIANT PLATFORM

Shield**Risk** has well-defined Information Security Policies to protect the business-critical information assets from unlawful disclosure, unauthorized access, and threats.



## Encryption

ShieldRisk has resilient encryption on its platform for entire life cycle of data at rest, in transit. We follow the finest security practices.



## GDPR Compliance Data Privacy

ShieldRisk ensures conformance to global regulations with GDPR compliance to maintain the privacy and security of its customer's data.



## AWS Cloud Security

ShieldRisk is intended for optimum performance and hosted on Amazon AWS cloud platform with suite of scanning and security tools.



## Proprietary AI Model

Proprietary Artificial Intelligent third-party vendor risk management of ShieldRisk has complete security over confidential data and processing.





# LOGIN SCREEN

Log in to the ShieldRisk AI portal using the default credentials provided. Application users are immediately required to go to **Lock** icon on the title bar to change the default authentication to a strong password abiding to the password policy enforced on the portal.

Welcome To ShieldRisk

Email

Password

[Sign in](#)

[Forgot password?](#)

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DASHBOARD

# DASHBOARD

The **Dashboard** lets the users examine the assessment completion status and compliance proportions with respect to industry standards.

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Dashboard

Instructions

Registered Profile

Client Entities

Assessment Response

Risk Review

Trust Certificate

Evidence Documents

Client's

Sr No.	Client Name	Client SPOC	Audit Schedule	Response	View
1	Matrix Systems Inc	Smith M	01-07-2021 - 31-08-2021	100%	
2	Matrix Systems Inc	Smith M	01-08-2021 - 31-08-2021	100%	

Audit Details

Client Name	Audit Schedule	Services	Validity
Matrix Systems Inc	01-08-2021 - 31-08-2021	Data Analytics	07-08-2021 - 11-08-2021

Matrix Systems Inc - Security Assessment Group's

Group	Proportion
Information Security Policy & Management	10%
Communications and Operations Management	9%
Network Management	10%
Physical and Environmental Security	8%
Access Control	8%
System Acquisition and Development	4%
Technical Vulnerability Management	1%
Capacity Management	0%
Service Delivery	3%
Incident Management	4%
Business Continuity Management and Disaster Recovery	3%
Email Security Management	2%
Asset Classification and Control	4%
Human Resource Security	3%

Matrix Systems Inc - Security Assessment Due Diligence

Sr. No	Security Control	Evidence	Completion
1	Information Security Policy & Management	0	100%
2	Media & Information Handling	0	100%
3	Communications and Operations Management	0	100%
4	Human Resource Security	0	100%
5	Asset Classification and Control	0	100%
6	Email Security Management	0	100%
7	Access Control	0	100%
8	Physical and Environmental Security	0	100%
9	Change Management	0	100%
10	Backup Management	0	100%
11	Technical Vulnerability Management	0	100%
12	System Acquisition and Development	0	100%
13	Network Management	0	100%
14	Capacity Management	0	100%
15	Service Delivery	0	100%
16	Incident Management	0	100%
17	Business Continuity Management and Disaster Recovery	0	100%

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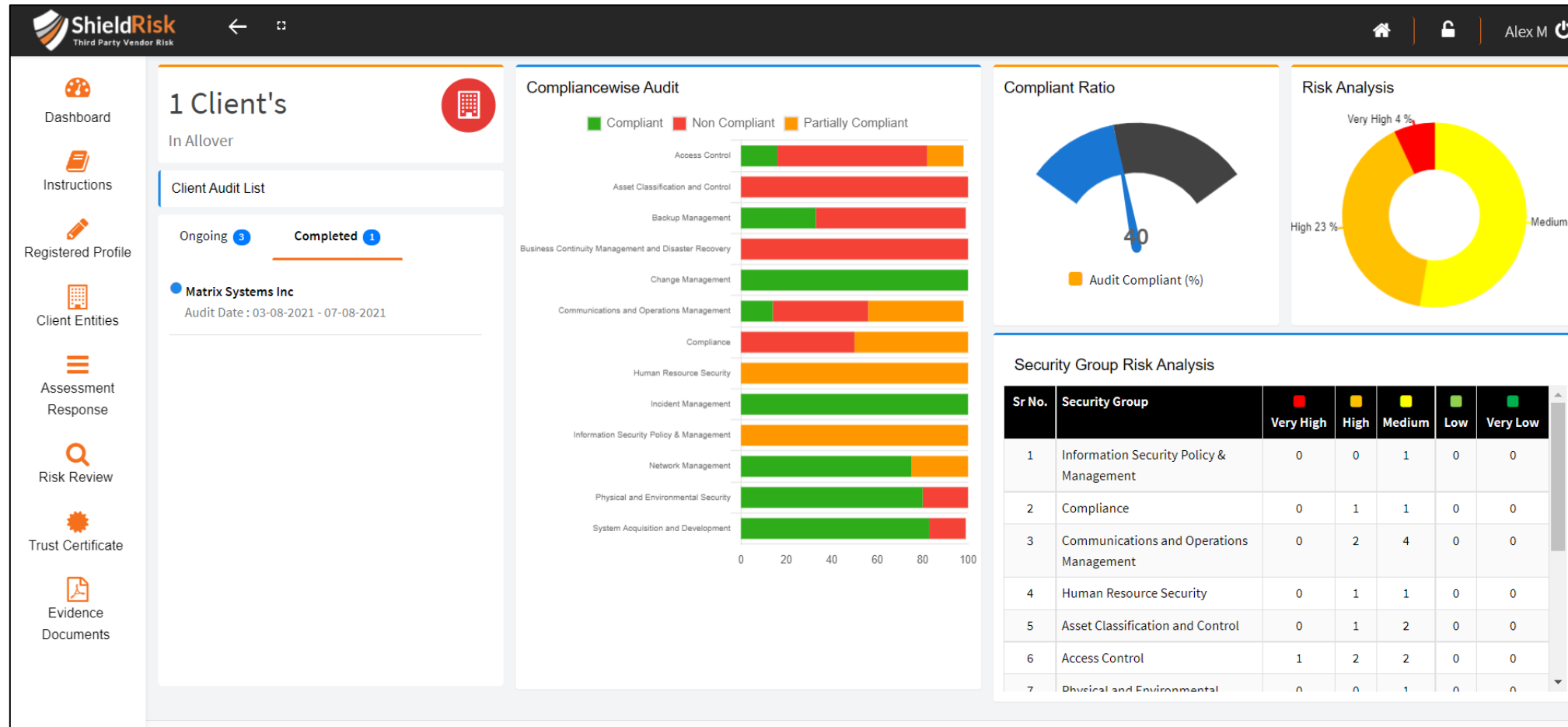
Release Ver 1.0





# DASHBOARD POST AUDIT

After the completion of the audit, the **Dashboard** lets the users examine the scale of compliance and assessment results. The status of the scheduled audits can be previewed in Client Audit List.





# PLATFORM WALKTHROUGH

The **Instructions** demonstrate a comprehensive guide for application users to understand the system functionalities of and information flow in ShieldRisk AI.









# VENDOR PROFILING


The **Registered Profile** section captures the prerequisite information of the organization for vendor profiling. This information can further be modified and updated by clicking on "**Update**" button in **Registered Profile** section.


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Third Party Vendor Risk


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[🏠](#) [🔒](#) Ariana P [⏻](#)


Dashboard


Instructions


Registered Profile

Client Entities

Assessment Response

Risk Review

Trust Certificate

Evidence Documents

Registered Profile

Profile Status 

100%

Vendor Name

Ariana Pvt Ltd

Address

2-3 ,wood stock street London

Location

London, United Kingdom

SPOC

Ariana P

Email

ariana@gmail.com

Landline No.

0

Mobile No.

9685457545

Services

Data Analytics

Company Profile

Type of Legal Entity

Privately held company

State of Incorporation

London

No of Year in Operation

2014

Operating Location - Primary

London , United Kingdom

Operating Location - Secondary

Texas, United States

Addition Locations where System and Data Stored

Texas, United States

No of Resources

Full Time Employees

175

Consultants

50

Contractors

10

Scope Assessment

Product/Service Name

Ariana Digilab

Product/Service Description

Diagnostic Software

Web Link to Product Privacy Notice

Data Center Hosting Location

Ireland

Disaster Recovery Location

Texas, US

Backup Frequency

24 hours

Backup Type

Incremental

Method of Data Transfer

Backup files

Update

Cancel

Proceed to Assessment













# CLIENT ORGANIZATION


The users can view the client organization and the contact information of the organization's representative, responsible for organizing the vendor assessment and audit for the acquired services.


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Dashboard


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Client Entities

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Search..

Sr No	Client Name	Country	Location	SPOC	Email Id	Mobile No
1	Matrix Systems Inc	United States	Texas	Smith M	shieldbyteinfosec04@gmail.com	9865856585
2	ShieldRisk Trust Certificate Audit					

Showing 1 to 2 of 2 entries

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# AUDIT & DUE DILIGENCE


The vendor personnel chose the project allocated to them by the Project Head. The assessment is required to be submitted to the Project Head by the mentioned deadline. The user can start the audit process by clicking on the sign to initiate the assessment.


 Third Party Vendor Risk


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### Compliance Assessment

Notification's **0** Ongoing **3** Completed **0** ShieldRisk Trust Certificate **0**

10

Search...

Sr. No	Client Name	Program Name	Audit Period	City	Country	Audit Start	Audit End	Assessment	Audit Status
1	ShieldRisk Trust Certificate Audit	Cyber Security Assessment	01-01-2021 To 31-12-2021			18-05-2021	19-05-2021		0%
2	Matrix Systems Inc	Information Security	01-01-2021 To 31-12-2021	Texas	United States	05-04-2021	06-04-2021	Validity Expired	<div><div>78%</div></div>
3	Matrix Systems Inc	Compliance	01-01-2021 To 31-12-2021	Texas	United States	24-03-2021	09-04-2021	Validity Expired	<div><div>100%</div></div>

Showing 1 to 3 of 3 entries

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# ONGOING PROJECTS

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## Project Timelines

The portal exhibits the audit timelines specific to the projects. The auditor has the due timelines set by the Project Head for completion of the assessment review.

## Assessment Submission Validity

Assessment submission is valid till the allocated date of closure. If the Auditor fails to complete the same in due timelines, the project shall be allocated to other auditors. Reporting inconsistency shall reflect in the Auditor's performance.

## Audit Status

Audit status shall be automatically updated to **“Completed”** on completion of assessment.

## Review & Submit Process

On completion of the assessment, the Auditor can click on **“Review & Submit”**. The assessment is directed to the Project Head for final review.





# ASSESSMENT

The assessment dashboard has Security Groups, assessment completed by the vendor SPOC based on the applicability of controls, and the questionnaire to be completed by users.

The screenshot displays the ShieldRisk assessment dashboard. The top navigation bar includes the ShieldRisk logo, a menu icon, a home icon, a lock icon, and the user name 'Medison'. The left sidebar contains navigation links: Dashboard, Instructions, Registered Profile, Client Entities, Assessment Response, Risk Review, Trust Certificate, and Evidence Documents. The main content area is divided into three sections. The top section, 'Assessment Completed', shows a circular progress indicator at 0% Completed. The middle section, 'Security Group's', lists various security controls with checkboxes: Media & Information Handling (checked), Human Resource Security, Email Security Management, Access Control, Physical and Environmental Security, Backup Management, Technical Vulnerability Management, System Acquisition and Development, Network Management, and Capacity Management. The bottom section contains three assessment questions related to 'Media & Information Handling'. Each question has an 'Applicable' dropdown menu, an 'Upload Evidence' button with a file size/type limit, and a 'Response Note' text area. The questions are: 'Does the Management enforce a process for the management of removable media?', 'Does the Management enforce a process for the management of mobile devices (laptops/cell phones/tablets)?', and 'Does the organization follow a defined retention period for data?'. The footer of the dashboard shows the copyright notice '© ShieldRisk AI – Third-party Vendor Risk Management' and the version 'Release Ver 1.0'.

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Third Party Vendor Risk

Assessment Completed

0%  
Completed

Security Group's

- ☒ Media & Information Handling
- ☐ Human Resource Security
- ☐ Email Security Management
- ☐ Access Control
- ☐ Physical and Environmental Security
- ☐ Backup Management
- ☐ Technical Vulnerability Management
- ☐ System Acquisition and Development
- ☐ Network Management
- ☐ Capacity Management

Media & Information Handling

Does the Management enforce a process for the management of removable media?

Applicable: Select Applicability

Upload Evidence (Maxsize:100kb,Type:Jpg/png/pdf/doc/docx/odt/docx/xlsx/png/jpg)

Response Note ( Note : Audit Note Max characters is 250 )

Does the Management enforce a process for the management of mobile devices (laptops/cell phones/tablets)?

Applicable: Select Applicability

Upload Evidence (Maxsize:100kb,Type:Jpg/png/pdf/doc/docx/odt/docx/xlsx/png/jpg)

Response Note ( Note : Audit Note Max characters is 250 )

Does the organization follow a defined retention period for data?

Applicable: Select Applicability

Upload Evidence (Maxsize:100kb,Type:Jpg/png/pdf/doc/docx/odt/docx/xlsx/png/jpg)

Response Note ( Note : Audit Note Max characters is 250 )

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# REVIEW & SUBMIT ASSESSMENT

On completion of assessment, the users can review the assessment for final updates and submit before the assessment expiry period.

The screenshot displays the ShieldRisk Trust Certificate Audit interface. The left sidebar contains navigation links: Dashboard, Instructions, Registered Profile, Client Entities, Assessment Response, Risk Review, Trust Certificate, and Evidence Documents. The main content area is titled 'Security Group's' and lists various security categories. The 'Human Resource Security' category is selected, showing two assessment questions. Each question includes an 'Applicable' dropdown menu, an 'Upload Evidence' section with a file input and upload button, and a 'Response Note' text area. The first question asks about background verification for new/existing employees, with evidence file '8\_65\_BGV - Aaron Wayne.pdf' and a response note about residential background verification. The second question asks about background verification for third parties and temporary staff, with evidence file '8\_66\_Client - Due Diligence.xlsx' and a response note about due diligence process. The right sidebar shows the 'ShieldRisk Trust Certificate Audit' status as 'Assessment Completed' with a '100% Completed' progress indicator. Below this, the 'Vendor Assessment' summary shows 'Total Question : 92', 'Completed Question: 92', and 'Pending Question : 0'. At the bottom right, there is a 'Submit Assessment' button and a confirmation message: 'Review Your Assessment and Click OK to Submit Assessment For Audit'.

ShieldRisk Trust Certificate Audit

Assessment Completed

100% Completed

Vendor Assessment

Total Question : 92

Completed Question: 92

Pending Question : 0

Submit Assessment

Review Your Assessment and Click OK to Submit Assessment For Audit

OK





ShieldRisk AI Team would be happy to support your organization vendor compliance journey

Write us for support – [reachus@shieldrisk.ai](mailto:reachus@shieldrisk.ai)

# THANK YOU